



4083 Iron Works Parkway
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AMERICAN SADDLEBRED HORSE ASSOCIATION
BOARD OF DIRECTORS MEETING
Monday, February 4th, 2019 - 5:00 PM
Dial-In: 1 502-242-0216
CONFERENCE CODE: 446026

1. **Call to Order / Roll Call** – David Mount
 - **Roll Call:** The following ASHA Executive members were in attendance by conference call: President: David Mount; Vice President: Joan Lurie; Secretary: Owen Weaver; Donna Pettry-Smith, Candida Covino-Aversenti, Allen Bosworth, Sandra Currier, Joe O'Brien, Marty Schaffel, Jenny Taylor, Emily Lee, Elisabeth Goth, Tandy Patrick, Evan Orr, James Nichols, Helena Moreno, Kristin Cater, Terri Dolan, and Jessica Cushing
Absent: Nancy Leigh Fisher
 - **Approval of the Agenda** – David Mount asked to get a motion to adopt the agenda, Tandy Patrick made the motion, and Joan Lurie placed a second motion, and it was approved by majority.
 - **Approval of Minutes**, Dec. 3rd, 2018 – Elisabeth Goth made amended that she was at the second meeting not the first meeting, and she would abstain from those minutes. Donna Petry was not present 85% of the second meeting. Joe O'Brien made the motion, a second motion was made by Marty, and the board approved the agenda unanimously.
2. **Declare Conflict of Interest** – No conflicts of interest to declare.
3. **Member Code of Ethics Update** – Rachel Seifert is joining meeting as the Member Code of Ethics Chair.
 - **Code of Conduct** is the name of the document because Article 11 Section 2 of the Bylaws of the association provides for a Code of Conduct be adopted by the board and become binding on the members:
 - i. Original draft includes ethical considerations
 - ii. Included specific conduct rules that flow from each ethical consideration
 - iii. Process by which complaints could be filed, investigated, and adjudicated, and potential sanctions be levied in the event of violation

After the task force reviewed the initial draft, there was concern the specific conduct rules gave the whole document a negative tone, and those should be removed to reach consensus. The revised document has been unanimously approved to be presented to the Board.





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- Differences between the documents and the UPHA's Document where discussed by Rachel.
 - i. UPHA Code sections could not be duplicated in their entirety
 - ii. Responsibility delegated to executive board
 - iii. Shifted ASHA focus to a conduct committee, with final determination to come before the full Board.
 - iv. Entire process was renumbered into a single component
 - v. Up to two committee members may be Board members
 - vi. Complaints may be brought up to non-members
 - vii. Complainants are encouraged to use a form to submit the complaint, and the complaint must be notarized.
 - viii. Added provision that the CRC can gather evidence outside the documents submitted by the parties
 - ix. All complaints that are within jurisdiction found with potential violations go before the full board.

Elisabeth Goth had questions regarding why the committee chose no term limits, and whether or not non-members should be able to submit complaints. Rachel responded that it is important to hear non-member complaints with in the process. The ASHA board agreed to send the document back to the task force to discuss term limits and committee policies.

4. **Bylaws Update.** Tandy outlined four changes to the Bylaws.

- Article 317 enables Board to authorize the absence of a director at a meeting
- Article 321 on confirmation of the voting for election of officers on balance should be confirmed by an auditing firm
- Article 322 Suggests there should be a mix of amateur and professional candidates
- Add a reference to the World Championship Horse Show Committee as a standing committee

Elisabeth made a made a motion, and Sandy made the second motion, unanimously approved.

5. **Competition Recording Form Policy Update:** Terri recommends to make a revision to the recording fee. Received concerns and complaints from horseshow managers regarding the fee. USEF revised their rule that, if a banned person shows at a horse show, results would not be recorded for just the banned person, not the entire entries for that show. The Board discussed how non-member points should be handled. Terri and Cynthia will revise the Competition Recording Form Policy.





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Donna made a motion to pass a revision to policy effective June 10th 2018, Marty seconded the motion. Motion passed by the majority.

Donna made a motion to rescind the \$100 recording fee, and a second motion was made by Tandy. Motion passed unanimously.

6. **USEF UPDATE:** Terri Dolan gave an overview of the work being proposed to USEF. A joint council has been formed from the affiliates of USEF to present suggestions to USEF on how to build a better partnership. The areas that were covered are:
- More representation on committees, such as the Breeds and Disciplines Committee and Licensed Officials
 - An additional effort named the Joint Leadership Council made up of the trotting breeds comprised of Hackneys , Morgans, Road Horses, Saddlebreds, and UPHA are also working on concerns to present to USEF
 - Affiliate Workshop - USEF
 - i. Meeting on March 21st and 22nd
 - ii. Outside facilitator will be working with affiliates to determine what changes are needed to build a better partnership with USEF
 - David mount spoke with ASHA insurance agency about sexual misconduct issues involving minors
 - i. Would need to add sexual conduct policy to cover potential lawsuits
 - ii. Insurance company recommended ASHA come up with its own policy as well as Safe Sport

David suggested a group be put together to look into policy options. Group will report back to the Board.

7. **Treasurer's report:** David Mount reporting for Allen. In the process of renewing Charitable gaming License. The first quarter financials will be available in April. Also in the process of preparing for the 2019 Audit.
8. **Executive Director's Report –**
- Terri Dolan has had calls with USEF and committee regarding the Licensed Officials Program.
 - i. The first live clinic will be March 14th through 16th.
 - ii. A webinar will be held in September.
 - i. Terri is working to develop a Sponsorship and Donation program





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- Discussed the Filling the Stands Playbook, which has been posted on our website and sent to horse show managers.
- Terri Will be at the ASAC Convention and then attending the Licensed Official Clinic.
- Cynthia Lowell took another offer for a job, but Terri will continue to work with her on a contract basis. Ongoing day to day business and operations, like the Journal, The Stallion Sweeps, and many others will be divided among members of our team. Working on the right job description for the needs of the business and considering outsourcing certain components.

9. President's Report –

- David Mount sent a request to the museum for a Condo Agreement to start moving towards owning the ASHA office.
- The PASS Act was reintroduced in congress, and the current version is consistent with older version, but omits the like breeds language.
 - i. ASHA supports the bill, but expects negative backlash.
- ASHA has been approved by Louisville for an economic impact study on the World Championship Horse Show.

10. Other New / Old Business – No one had any old or new business to discuss.

Joe O'Brien made a motion to adjourn, the motion was seconded by Joan at 3:40 PT.

